**REQUEST FOR CONTINUED  
EXAMINATION (RCE)  
TRANSMITTAL**

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provides for continued examination of an utility or plant application filed on or after June 8, 1995. See The American Inventors Protection Act of 1999 (AIPA).

Application Number	09/888,326
Confirmation Number	7237
Filing Date	June 22, 2001
First Named Inventor	Georg Weiner
Group Art Unit	1635
Examiner Name	Jon E. Angell

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

**NOTE:** 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, **you** may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53 (d) instead of an RCE to be eligible for the patent term adjustment provisions of the AIPA.

**1. Submission required under 37 C.F.R. § 1.114****a. Previously submitted**

- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on .  
(Any unentered amendment(s) referred to above will be entered.)
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on .
- iii. ☐ Other:

**b. Enclosed is/are:**

- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☒ Information Disclosure Statement (IDS)
- iv. ☒ Other: Copies of Previously Submitted Forms 1449 (2) and References Cited Therein

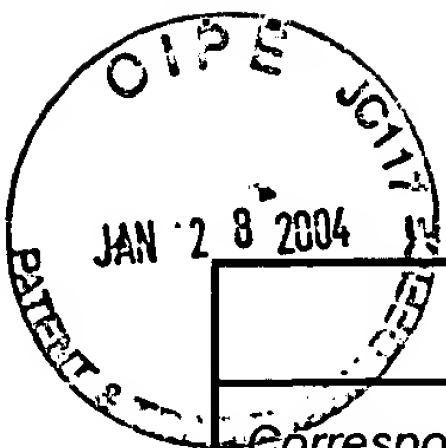
**2. Miscellaneous**

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of \_\_\_\_ months. (Period of suspension shall not exceed 3 months) and the Fee of **\$130.00** under 37 C.F.R. § 1.17(i) is enclosed.
- b. ☐ Other:

**3. Fees – The RCE fee under 37 C.F.R. §1.17(e) is required by 37 C.F.R. §1.114 when the RCE is filed.**

- a. ☒ Enclosed is a check in the amount of \$880.00 which covers:
  - i. ☒ RCE fee required under 37 C.F.R. § 1.17(e)
  - ii. ☒ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)
  - iii. ☐ Information Disclosure Statement fee required under 37 C.F.R. § 1.17(p)

**4. If the filing of this RCE necessitates an extension of time under 37 CFR §1.136(a), the applicant hereby requests such extension of time.****5. If there is no check enclosed, or if the amount of the enclosed check in this RCE is incorrect, the Director is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.**



6. CORRESPONDENCE ADDRESS

Correspondence address below

**CUSTOMER NUMBER:**  
**23628**

7. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME

Alan W. Steele, M.D., Ph.D., Reg. No. 45,128

SIGNATURE

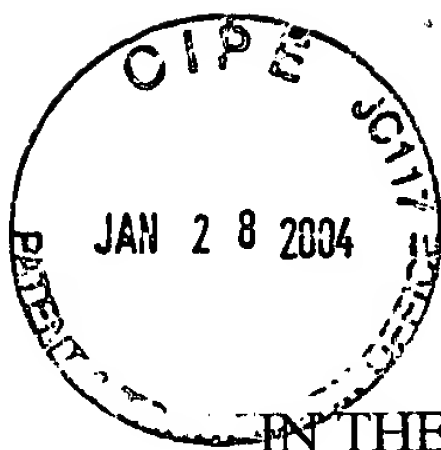
DATE

January 26, 2004

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to **MAIL STOP RCE**, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 26<sup>th</sup> day of January, 2004

  
\_\_\_\_\_  
Alan W. Steele, M.D., Ph.D.



Attorney's Docket No. C1039.70052US00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Weiner and Hartmann  
Serial No. : 09/888,326  
Conf. No. : 7237  
Filed : June 22, 2001  
For : METHODS FOR ENHANCING ANTIBODY-INDUCED CELL LYSIS  
AND TREATING CANCER

Examiner : J. Eric Angell  
Art Unit : 1635

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on January 26, 2004.

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Alan W. Steele, Reg. No. 45,128

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Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**AMENDMENT AND RESPONSE**

This paper is filed in response to the Office Communication made final and mailed on September 26, 2003, Paper No. 19. A shortened three-month period for reply was set to expire on December 26, 2003. A petition for a one-month extension of time, along with the required fee, is filed herewith. This paper is thus timely filed.

This paper is also filed as part of the submission requirements for a Request for Continued Examination, also filed herewith.

Amended claims begin on page 2.

Remarks begin on page 7.

Please amend the application as indicated below.